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FORM PTO-1390 U.S. DEPARTMENT C (REV. 5-93) PATENT AND TRADE		ATTORNEY'S DOCKET NUMBER 2345/87		
TRANSMITTAL LETTER TO THE UNITE DESIGNATED/ELECTED OFFICE (DO/I CONCERNING A FILING UNDER 35 U.S	EO/US)		NO. (If known, see 37 CFR 1.5)	
INTERNATIONAL APPLICATION NO. PCT/EP98/03086	INTERNATIONAL FILIN (26.05.98) 26 May 1998	G DATE	PRIORITY DATES CLAIMED (06.06.97) 06 June 1997	
TITLE OF INVENTION DEVICE AND METHOD FOR RECEIVING DATA				
APPLICANT(S) FOR DO/EO/US ASSMUS, Ulf and ROTH, Michael				
Applicants herewith submit to the United States Designated/Eit 1. So its a FIRST submission of Items concerning a fit 2. This is a SECOND or SUBSEQUENT submission of This express request to begin national examination expiration of the applicable time limit set in 35 U.S. 4. A proper Demand for International Preliminary Exam A copy of the International Application as filed (35 U 2. In as Sean transmitted Application was filed in the U 3. In an international Application or the International Bureau. 4. In the International Application into English and International Application into English Amendments to the claims of the International Application.	fing under 35 U.S.C. 371. If items concerning a filing is procedures (35 U.S.C. 37: 2. 371(b) and PCT Articles initiation was made by the U.S.C. 371(c)(2)) titled by the International Buunited States Receiving Of	under 35 U.S.C. 371. (f) immediately rathe 22 and 39(1). 19th month from the express).	r than delay examination until the	
## a mendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(e)(3)) a				
At ranslation of the amendments to the claims under An oath or declaration of the inventor(s) (35 U.S.C. 3 10.	371(c)(4)).		36 (35 U.S.C. 371(c)(5)).	

12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.

EXPRESS NO.: EL179956328US

16. 🖾

14. A substitute specification.

13.

✓ A FIRST preliminary amendment.

Items 11. to 16. below concern other document(s) or information included: 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.

A SECOND or SUBSEQUENT preliminary amendment.

15. A change of power of attorney and/or address letter. Other items or information: PCT/RO/101.

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U.S. APPLICATION NO if known	S. APPLICATION NO If known, see INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER		
37 C.F.R.1.5 09/3	.5 09/355149 PCT/EP98/03086			2345/87	
17. ⊠ The following fees are submitted:			CALCULATIONS	PTO USE ONLY	
Basic National Fee	(37 CFR 1.492(a)(1)-(5)): O or JPO	\$840.00		
		d to USPTO (37 CFR 1.4			
· ·		paid to USPTO (37 CFR			
international search	fee paid to USPTO (37 (CFR 1.445(a)(2))	\$760.00		
Neither international search fee (37 CFR	preliminary examination 1.445(a)(2)) paid to USF	n fee (37 CFR 1.482) nor PTO	international \$970.00		
International prelimir claims satisfied prov	nary examination fee pai isions of PCT Article 33	d to USPTO (37 CFR 1 (2)-(4)	482) and all \$96.00		
	ENTER APPRO	PRIATE BASIC FE	E AMOUNT =	\$840.00	
Surcharge of \$130.00 for fi	urnishing the oath or de	claration later than 20	30 months	\$	
Claims	Number Filed	Number Extra	Rate		
चotal Claims	17 - 20 =	0	X \$18.00	\$	
Independent Claims	2 - 3 =	0	X \$78.00	\$	
Multiple dependent claim(s	s) (if applicable)		+ \$260.00	\$	
Res.	TOTA	L OF ABOVE CAL	CULATIONS =	\$ 840.00	
Reduction by 1/2 for filing	by small entity, if applica R 1.9, 1.27, 1.28).	able. Verified Small Entit	ty statement must	\$	
1			SUBTOTAL =	\$	
Processing fee of \$130,00 for furnishing the English translation later the 20 30			\$		
months from the earliest claimed priority date (37 CFR 1.492(f)). + TOTAL NATIONAL FEE =			\$ 840.00		
Fee for recording the enclusion	osed assignment (37 CF	R 1.21(h)). The assigni	ment must be	\$	
accompanied by an appro	prime cover sheet (37 C		ENCLOSED =	\$840.00	
		TOTALTELO		Amount to be: refunded	s
				charged	\$
a. A check in the amount of \$ to cover the above fees is enclosed. b. B. Please charge my Deposit Account No. 11-0600 in the amount of \$840.00 to cover the above fees. A duplicate copy of this sheet is enclosed.					
The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No11-0600 A duplicate copy of this sheet is enclosed.					
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO:					
Kenyon & Kenyon One Broadway New York, New York 10004 DATE Michelle M. Carniaux, Reg. No. 36,098 NAME DATE					

510 Rec'd PCT/PTO 2 2 JUL 1999

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE PRELIMINARY AMENDMENT Docket Number: 2345/87 Application Number Filing Date Examiner Art Unst Not Yet Assigned Herewith DEVICE AND METHOD FOR RECEIVING DATA

Assistant Commissioner for Patents Washington D.C. 20231

SIR:

Please amend the above-referenced patent application as follows:

In the Claims:

In claim 3, line 1, delete "or 2";

In claim 5, line 1, change "one of the foregoing claims" to --claim1--;

In claim 6, line 1, change "one of Claims 1 through 4" to --claim1--;

In claim 8, line 1, change "one of the foregoing claims" to --claim1--;

In claim 9, line 1, change "one of the foregoing claims" to --claim1--;

In claim 12, line 1, delete "9 or ";

In claim 13, line 1, change "one of Claims 10 through 12" to --claim10--;

In claim 14, line 1, change "one of Claims 10 through 13" to --claim10-.

Remarks

The specification has been amended in order to eliminate multiple dependent claims.

An early indication of allowable subject matter is respectfully requested.

The Commissioner is hereby authorized to charge any additional fees which may be required or credit any overpayment to Deposit Account No. 11-0600. A copy of this sheet is enclosed herewith.

Dated: 22 Jy 1995

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09/355149 510 Rec'd <u>PCT/PTO</u> 2 2 JUL 1999

DEVICE AND METHOD FOR RECEIVING DATA

The present invention relates to a device for receiving data transmitted using asynchronous data transmission technology, in particular audio and video data, which receive a clock signal, having a memory device. The invention furthermore concerns a method of transmitting and receiving data signals, in particular audio and video signals, between two studios with each studio having a studio clock rate.

Studio in this context is understood as a system having means for processing audio and video data signals. Studios increasingly process data digitally, for which the processing means must be supplied with a common clock rate. When data is transmitted between two studios, for example, the studio clock rates must be synchronized to avoid disturbances that occur due to losses in receiving and further processing data.

Studio clock rates can be synchronized, for example, in a method known as master/slave synchronization in that a studio, as the master, provides a clock rate, which is then used by the other studio for synchronization of its own clock rate. Furthermore, according to another method, a common network operator, for example, may provide a clock rate to the studios connected to the network. In both of the above methods, the useful signal is normally used for synchronizing or transmitting the sync signal.

for synchronization must be transmitted without interference. If the signal has considerable jitter or wander, this interference has a direct effect on the studio clock rate derived therefrom. If the data signals are transmitted to the other studio having a jitter-free clock signal, bit errors and thus considerable disturbances in the analog signal occur. Jitter is understood here

These methods, however, have the disadvantage that the signal used

as high-frequency phase modulation of the clock signal, while wander is very low-frequency phase modulation of the clock signal.

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With increasing digital processing in studios, data between studios are also being transmitted in digital form, with the ATM (Asynchronous Transfer Mode) signal transmission method expected to be mainly used in the future. In this method, clock signal recovery takes place on the receiver side on the basis of the amount of data received per unit of time. In this case, a highfrequency jitter having a low, and therefore non-interfering, amplitude and a wander, based on the ATM principle, having a relatively high amplitude and very low frequency normally occur. Due to the low frequency (below the MHz range) of the wander, the technology used for eliminating jitter in the form of a PLL (Phase Locked Loop) circuit with extremely low-pass characteristics, is not suitable, since wander depends, among other things, on the varying load on the individual switching nodes of an ATM data transmission network. This wander, however, causes the signal to deteriorate considerably, resulting in audio signal crackling in more or less regular intervals, unless suitable countermeasures are used.

The object of the present invention is therefore to provide a device with which error-free reception of data, in particular of video and/or audio data, between two studios that are synchronized with one another, can be achieved.

This object is achieved using a device for receiving data transmitted by asynchronous data transmission technology having the features of Claim 1.

By implementing a sufficiently large memory device in the transmitter, the data received are stored during a period required for compensating transmission delays, and by the studio clock signal being sent to the memory device for reading the data, wander resulting in disturbance can be compensated for or the period between two disturbances caused by wander can be made so long that the effect of the disturbances is reduced, especially if they occur during nighttime. In addition, the advantages of ATM technology, such as high data rate flexibility, the use of a

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public ATM network, the use of dial-up connections, no closed user classes in a special network, and no special network technology, are preserved. Another great advantage is that a single network allowing LAN-LAN (local area network) links and links between two switching stations for telephone traffic, in addition to audio and video links, can be implemented.

Advantageous embodiments of the invention are presented in the subclaims.

The use of the ATM technology is particularly advantageous for transmitting data. Of course, the invention can also be used in PDH (plesiochronous digital hierarchy) or with components of the SDH (synchronous digital hierarchy, SONET in the U.S.).

The use of a FIFO (first in first out) memory as the memory device is particularly advantageous, with the FIFO memory being sized so that data can be stored for a period of preferably n \cdot 150 μs . This period is obtained by the number of possible switching stations within a transmission link, with 100 μs per switching node to be assumed for a non-busy network and approximately 250 μs for a busy network.

When synchronizing two studios, one studio is preferably selected as the master, which transmits a clock signal to the other studio. In larger networks, this is also advantageous for causing the studio clock rates present in the network to be synchronized using a centrally generated standard clock rate.

If data are transmitted between non-synchronized studios, for example, when transmitting across national borders, a means is preferably provided that is designed to match the clock rate of the received data stream to the studio clock rate. To match the clock rate, the means detects the points in time when the digital signal distortion after digital-to-analog reconversion results in no significant signal deterioration. In an audio signal, this instant is detected when the state of pause (no signal) is

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detected in the signal over several samplings. In video applications the image boundaries are preferably detected and then entire images are omitted or read twice.

In a refinement of the present invention, a switchover device is provided, which classifies the data received over the network and forwards it to the respective receiver. Thus, for example, audio and video data signals represent a class and are forwarded to the studio for further processing. Telephone data signals, for example, represent another class and are sent to an associated telephone system. In addition, computer data belong to a class and are transmitted over a LAN and supplied to the respective network by the switchover device. The switchover device preferably also performs bundling of the different data signals.

The object of the present invention is also achieved by a method having the features of Claim 11.

Due to the fact that the data signals are transmitted using an asynchronous data transmission technology and the received data signals are stored and read at the studio clock rate, disturbances are avoided or reduced to the point where they are no longer relevant.

- Other advantageous embodiments of the method are derived from the subclaims.
 - The invention is now explained in more detail with reference to the embodiments illustrated in the drawing.
 - Figure 1 shows a block diagram of the layout of two studios and the link between them;
 - Figure 2 shows a block diagram of the link between two studios with a normal clock rate supply; and

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Figure 3 shows a block diagram of several studios linked via a common network.

Figure 1 schematically shows a device 1, connected to another device 3 of basically the same structure via link 5. Both devices are devices for processing digital data, namely digital audio and video data, which are used in radio and TV technology. Such devices 1, 3 are components of digital radio or TV studios. In the following, for the sake of simplicity, both devices 1 and 3 will be referred to as studios. The function of such a studio is, for example, to process, in real time, the data of a live transmission transmitted by another studio and to transmit this data to the viewers via terrestrial or wire-bound transmission channels.

In order to digitally process such data, studio 1 has a transmitter 7, which is connected to transmission link 5 on the receiver side. Transmitter 7 bundles different data streams, for example, audio and video data streams, using the multiplex method, for example, to transmit these streams to transmission link 5 via a common conductor. The data transmission link may be an STM1 link with a data transmission rate of 155.52 Mbit/s. Another function of transmitter 7 is to convert the data signals to be transmitted into the form required for transmission. According to the present invention, the ATM method (asynchronous transfer mode) is used as the transmission method. Since this method is known per se, it shall not be described in detail here.

Furthermore, in this embodiment, transmitter 7 separates the data stream received into an audio data stream and a video data stream, while unpacking the data packets.

Two devices, hereinafter referred to as terminal adapters 9, 11, are connected to transmitter 7 via data lines 13. In the present embodiment, terminal adapter 9 is assigned to the video data stream, while terminal adapter 11 is assigned to the audio data stream. The terminal adapters are used to adapt the data coming from transmitter 7 to the form required within studio 1, which

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normally also includes the recovery of the clock rate used on the transmitter side. Terminal adapters 9 and 11 must divide the data stream into individual data packets, known as ATM cells, for ATM technology transmission, with each data packet containing control information in addition to the actual useful data. An ATM cell normally has 53 bytes.

Such a terminal adapter includes, as schematically illustrated in detail A of Figure 1, a control unit 15 and a memory unit 17. This memory unit includes at least one area 19 operating as a FIFO (first in first out) memory, which receives the data coming from transmitter 7. Control unit 15 is responsible for the readout of data from the FIFO memory 19 at a clock rate that is provided by a clock 21 provided in studio 1. This clock rate is hereinafter referred to as the studio clock rate. Thus, the function of FIFO memory 19 is to temporarily store a certain amount of data. The size of the FIFO memory is determined by the data transmission rate and by the number of switching nodes present in the transmission link. It has been shown that for a transmission rate of 34 Mbit/s, the memory may have 300 ATM cells, and for a transmission rate of 8 Mbit/s, it may have 100 ATM cells. This results in a memory size of 13 ATM cells per 1 Mbit/s data transmission rate. FIFO memory 19 should also be dimensioned so that the transmission technology-related time delays are compensated for. Thus, delays known as Cell Delay Variation (CDV) occur due to the switching nodes in the transmission link, which cause a delay of 100 µs for a non-busy network node (switching node) up to 250 μs for a busy network node.

The data temporarily stored in FIFO memory 19 are then read out at the studio clock rate and sent to a downstream audio or video coder/decoder 23 or 25, respectively. In the present embodiment, the audio data are transmitted at a transmission rate of 2,048 Mbit/s, for example, to audio coder/decoder 23. For further data processing, coders/decoders 23, 25 also receive the studio clock rate from clock 21. Since the subsequent processing of the respective data is not relevant to the present invention, it will

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not be described here.

For the layout of studio 1 it is essential that terminal adapters 9, 11 receive the studio clock rate so that the received data are read from FIFO memory 19 at the studio clock rate. Thus, according to the present invention, no clock rate recovery from the received data stream takes place in the terminal adapter.

It is essential for error-free processing of the received data that the studio clock rate used for subsequent processing is synchronized with the clock rate used by the transmitter. In the present embodiment according to Figure 1, the transmitter is studio 3, which has the same structure as studio 1. Therefore the parts identified with the same reference symbols are not described again.

In this embodiment, studio clock rate 21 of studio 1 is transmitted to studio 3 via transmission link 5 for synchronization. In selecting the transmission channel, it must be ensured that the clock signal is transmitted with the highest priority with the least possible interference. In studio 3, this clock signal is transmitted from transmitter 7 to clock generator 21' and is used there for the synchronization of the studio clock signal that has been generated. This type of synchronization is known as master/slave synchronization with studio 1 operating as the master and studio 3 operating as the slave.

The advantage of this type of data transmission is that the advantages of ATM technology, such as great data rate flexibility, the use of a public ATM network, use of dial-up links, no closed user class in a special network, no special network techniques, etc., are preserved without the need for taking into account the wander (synchronization losses), which is inherent in this ATM technology. Using high-capacity FIFO memory 19, interference caused by wander can be prevented or spread over time so that it only occurs at night.

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Another type of synchronization of two studios 1, 3 is illustrated in Figure 2. The two studios 1, 3 are identical to the studios described previously, so they will not be described again. The difference is that both studios do not necessarily have clocks 21 to be synchronized. Instead, a clock signal known as a normal clock rate is sent to them from a central clock, for example, of network operator 27 (which then may synchronize clocks 21 that are used).

Figure 3 shows another embodiment, where three studios 1, 3, 29 are connectable via a common ATM network 31. All three studios 1, 3, 29 have the same structure and are identical to the studio described with reference to Figure 1. Therefore the part provided with the same reference symbols will not be described again. As elucidated in connection with Figure 2, the clock rate required for synchronization is generated in this embodiment by a central clock 27 and made available to each studio 1, 3, 29, where it is used for synchronization of the studio clock rate.

ATM network 31 can be a public ATM network here, for example (hard-wired or dial-up). Due to the possibly greater CDV delay in data transmission, the FIFO memories of the terminal adapters must be adapted as needed.

25 In addition, each of transmitters 7 may be provided with a switchover unit, which allows data of different classes (audio/video data, telephone data, computer data) to be bundled and separated. Thus ATM network 31 can be used for different services.

Control unit 15 can also be equipped with means designed for adjusting the clock rate of the received data stream to the studio clock rate. In order to adjust the clock rate, the means detects points in time when distortion of the digital signal after digital-analog reconversion results in no significant signal deterioration. For an audio signal, this point in time is recognized, for example, when the pause (no signal) state is

detected over several samplings. For video applications, the image boundaries are preferably recognized and then entire images are omitted or read twice. Thus data can also be transmitted between a synchronized and a non-synchronized studio.

Claims

- 1. A device for receiving data transmitted using asynchronous data transmission technology, in particular audio and video data, to which a data-independent clock signal is added, having a memory device (17), which stores the received data for the required period of time in order to compensate for transmission delays (Cell Delay Variation), characterized in that the clock signal is sent to the memory device (17) for readout of the data.
- The device according to Claim 1, characterized in that it is designed for receiving data transmitted by the ATM (Asynchronous Data Transfer) technology.
- The device according to Claim 1 or 2, characterized in that the memory device (17) is designed as a FIFO memory (19).
- 4. The device according to Claim 3, characterized in that the FIFO memory (19) is dimensioned so that the received data are storable for a period of preferably 100 μs to 250 μs per switching node.
- 5. The device according to one of the foregoing claims, characterized in that a clock (21') providing a clock signal is synchronized with at least one other device (3; master/slave mode).
- 6. The device according to one of Claims 1 through 4, characterized in that the clock (21) is not synchronized with the clock of the transmitting device, and means for adjusting the received data stream to the clock rate of the clock (21) are provided.
- 7. The device according to Claim 6, characterized in that the

- clock rate adjusting means double or omit certain data signals when reading from the memory device (17).
- The device according to one of the foregoing claims, characterized in that the clock (21) is synchronized via an external normal clock rate.
- 9. The device according to one of the foregoing claims, characterized in that a switchover device is provided, which classifies the received data into data classes and relays them to a corresponding device.
- 10. A method of transmitting and receiving data signals, in particular audio and video data signals between two studios (1, 3), with each studio having a studio clock rate, characterized in that the data signals are transmitted using ATM technology, and the received data signals are temporarily stored and read out at the studio clock rate.
- The method according to Claim 10, characterized in that the studio clock rates are synchronized.
- 12. The method according to Claim 9 or 10, characterized in that the received data signals are stored during a time period that is sufficient for compensating transmission delays.
- 13. The method according to one of Claims 10 through 12, characterized in that in order to synchronize the studio clock rates, a normal clock rate of a network operator is supplied directly or indirectly.
- 14. The method according to one of Claims 10 through 13, characterized in that the clock rate of one studio is used for synchronizing two studios (master/slave mode).
- 15. The method according to Claim 14, characterized in that a normal clock rate is sent to one studio, which is relayed to

the other studio via the link line (5).

- 16. The method according to Claim 10, characterized in that, when the studio clock rates are not synchronized, the clock rates are adjusted using a plus/zero/minus packing procedure.
- 17. The method according to Claim 16, characterized in that when audio signals are transmitted, these are checked for irrelevant data signals and the data signals that are irrelevant for the clock rate adjustment are retransmitted in double or omitted.

Abstract of the Disclosure

A device is described for receiving data transmitted using asynchronous data transmission technology, in particular audio and video data, which receives a clock signal, having a memory device (17), which stores the received data for the required period of time in order to compensate for transmission delays (Cell Delay Variation). The clock signal is sent to the memory device (17) for reading out the data. Furthermore, a method is described for receiving data signals using asynchronous data transfer technology, with the received data signals being temporarily stored and read out at the studio clock rate.

(Figure 1)

[captions to Fig. 1]

15 control

21, 21' studio clock rate

A data flow

B clock signal supply

[captions to Fig. 2]

27 normal clock rate

A data flow

B clock signal supply

[captions to Fig. 3]

21 studio clock rate

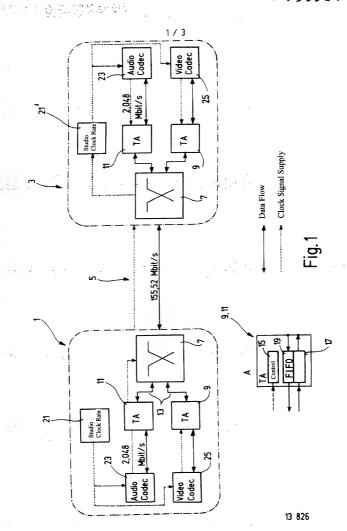
27 normal clock rate

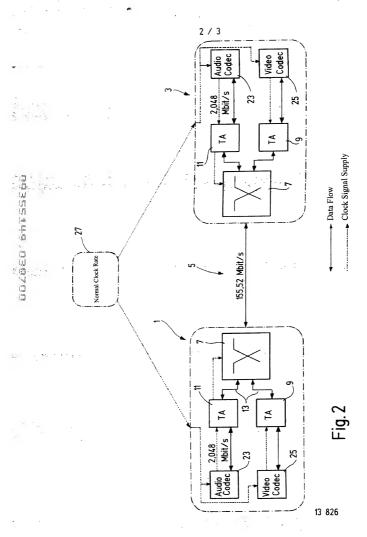
A data flow

B clock signal supply

D ATM network

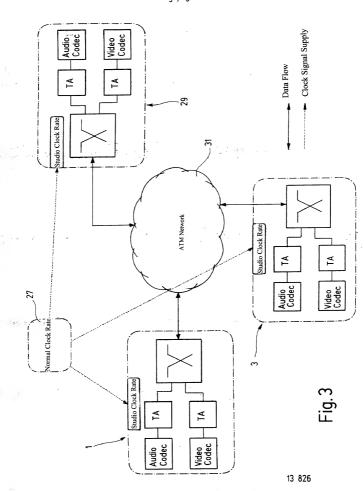
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-	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	
DECLARATION	AND POWER OF ATTORNEY	ATTORNEY'S DOCKET NO. 2345/87

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my

I believe I am an original, first, and joint inventor of the subject matter that is claimed and for which a patent is sought on the invention entitled **DEVICE AND METHOD FOR RECEIVING DATA**, the specification of which was filed as International Application No. **PCT/EP98/03086** on **26 May 1998**.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).

PRIOR FOREIGN APPLICATION(S)

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

GERMANY	197 23 760.6	6 June 1997		YES
COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	DATE OF ISSUE (day, month, year)	PRIORITY CLAIMED UNDER 35 U.S.C. § 119



POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorneys: Richard L. Mayer (Reg. No. 22,490)

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I declare that all statements made herein of my own knowledge are true and all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under § 1001 of Title 18 of the United States Code and that such willful statements may jeopardize the validity of the application or any patent issuing thereon.

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Signature			Date	

I declare that all statements made herein of my own knowledge are true and all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under § 1001 of Title 18 of the United States Code and that such willful statements may jeopardize the validity of the application or any patent issuing thereon.

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Signature	Ja. Kole		Date	29.02.00